

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Keiji YAMADA

SERIAL NO: 10/509,688

GAU:

FILED: October 12, 2004

EXAMINER:

FOR: HONEYCOMB FILTER FOR CLARIFYING EXHAUST GAS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Masayasu Mori

Registration No. 47,301

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 259593US90PCT		SERIAL NO. 10/509,688	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Keiji YAMADA			
				FILING DATE October 12, 2004		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 914 187	06/22/99	NARUSE et al.			
	AB	2004/0031264	02/19/04	KOJIMA			
	AC	5 930 994	08/03/99	SHIMATO et al.			
	AO	6 447 564	09/10/02	OHNO et al.			
	AE	6 565 630	05/20/03	OHNO et al.			
	AF	2004/0055265	03/25/04	OHNO et al.			
	AG	2004/0033175	02/19/04	OHNO et al.			
	AH	6 669 751	12/30/03	OHNO et al.			
	AI	6 770 116	08/03/04	KOJIMA			
	AJ	5 595 581	01/21/97	ICHIKAWA et al.			
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	9-173866	07/08/97	JP (with English abstract)			NO
	AP	2001-73743	03/21/01	JP (with English abstract)			NO
	AQ	62217/1986	04/26/86	JP (with partial English translation)			NO
	AR	59-225718	12/18/84	JP (with English abstract & equivalent of US 4509966)			NO
	AS	57-42316	03/09/82	JP (with English abstract & equivalent of US 4364760)			NO
	AT	7-332064	12/19/95	JP (with English abstract & equivalent of US 5595581)			NO
	AU	2003-3823	01/08/03	JP (with English abstract & equivalent of EP 1251247)			NO
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/509,688
Docket No.: 259593US90PCT

STATEMENT OF RELEVANCY

- 1) References AJ, AO-AQ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AR-AU are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
PER CLIENT	5,914,187	06/22/99		NARUSE et al.
PER CLIENT	10/296,027	03/20/02	2004-0031264	KOJIMA
PER CLIENT	5,930,994	08/03/99		SHIMATO et al
PER CLIENT	6,447,564	09/10/02		OHNO et al.
PER CLIENT	6,565,630	05/20/03		OHNO et al.
PER CLIENT	10/671,418	09/26/03	2004-0055265	OHNO et al.
PER CLIENT	09/926,795	UNKNOWN		UNKNOWN
PER CLIENT	10/129,126	UNKNOWN		UNKNOWN
PER CLIENT	10/362,975	10/01/01	2004-0033175	OHNO et al.
PER CLIENT	10/477,741	UNKNOWN		UNKNOWN
PER CLIENT	6,669,751	12/30/03		OHNO et al.
227689US90 PCT	6,770,116	08/03/04		KOJIMA
251134US90 PCT	10/490,206	09/02/04		HONG et al.
251135US90 PCT	10/490,205	09/09/04		KOMORI et al.
252658US90 PCT	10/493,056	08/17/04		HONG et al
255291US90 PCT	10/502,045	07/29/04		KUDO et al.
255492US90PCT	10/502,054	11/12/04		KUDO et al.
256141US90 PCT	10/506,438	09/02/04		TAOKA et al.
257931US90 PCT	10/508,415	09/21/04		SHIBATA
259593US90 PCT*	10/509,688	10/12/04		YAMADA

*Present Application; listed for information

MM/sch

I:\EM\EMREL\259S-260S\259593US LIST.doc